

# Geometry of the quantum set of correlations and its implications for self-testing and device-independent cryptography

Jędrzej Kaniewski  
University of Warsaw  
jkaniewski.fuw.edu.pl

Düsseldorf Quantum Info Seminar  
3rd July 2020



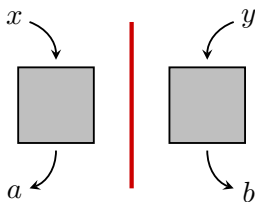
# Outline

- Preliminaries
- Geometry of the quantum set
- A weak form of self-testing
- An extremal non-rigid point based on mutually unbiased bases
- Summary and open questions

# Outline

- Preliminaries
- Geometry of the quantum set
- A weak form of self-testing
- An extremal non-rigid point based on mutually unbiased bases
- Summary and open questions

# A Bell experiment



$$P(a, b|x, y)$$

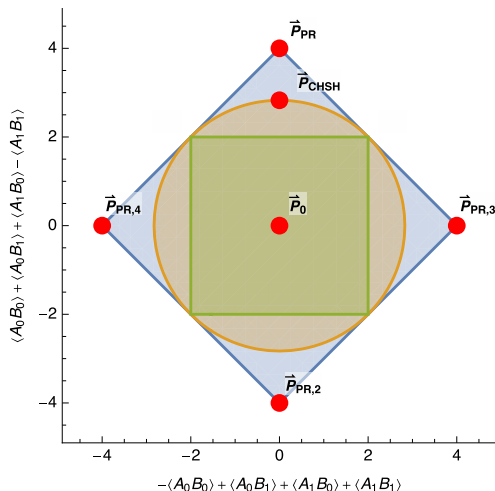
Local-realistic ( $\mathcal{L}$ ):  $P(a, b|x, y) = \sum_{\lambda} p(\lambda) q_A(a|x, \lambda) q_B(b|y, \lambda)$

Quantum ( $\mathcal{Q}$ ):  $P(a, b|x, y) = \text{tr} [(P_a^x \otimes Q_b^y) \rho_{AB}]$

No-signalling ( $\mathcal{NS}$ ):  $\sum_b P(a, b|x, y) = \sum_b P(a, b|x, y')$   
 $\sum_a P(a, b|x, y) = \sum_a P(a, b|x', y)$

# A Bell experiment

The simplest non-trivial Bell scenario corresponds to 2 players, 2 settings, 2 outcomes and is usually referred to as the **Clauser–Horne–Shimony–Holt (CHSH)** scenario.



$\mathcal{L}$  : local set

$\mathcal{Q}$  : quantum set

$\mathcal{NS}$  : no-signalling set

(2-dimensional slice of  
8-dimensional objects)

# Self-testing of quantum devices

## Self-testing (rigidity) statement:

“In quantum mechanics the probabilities  $P(a, b|x, y)$  can be achieved in an essentially unique manner”

**or**

“Once you observe the probabilities  $P(a, b|x, y)$ , you know exactly how the devices work!”

- (a) “essentially unique” means up to auxiliary degrees of freedom and choice of local bases
- (b) this can only hold for points which are extremal in  $\mathcal{Q}$
- (c) sometimes phrased as “if we observe the maximal violation of Bell inequality”

Self-testing is a type of **device-independent certification**

# Self-testing of quantum devices

- Let  $A_x, B_y$  be observables of Alice and Bob, respectively, whose outcomes are  $\{+1, -1\}$ . The CHSH functional reads

$$\beta := \langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle.$$

- Well known that  $\beta_{\mathcal{L}} = 2$  and  $\beta_{\mathcal{Q}} = 2\sqrt{2}$ .
- Any quantum realisation  $(\rho_{AB}, A_x, B_y)$  that achieves  $\beta = 2\sqrt{2}$  is equivalent (up to local unitaries on  $A$  and  $B$ ) to

$$\begin{aligned}\rho_{AB} &= \Phi_{A'B'}^+ \otimes \tau_{A''B''}, \\ A_0 &= X \otimes \mathbb{1} & B_0 &= \frac{X+Z}{\sqrt{2}} \otimes \mathbb{1}, \\ A_1 &= Z \otimes \mathbb{1} & B_1 &= \frac{X-Z}{\sqrt{2}} \otimes \mathbb{1},\end{aligned}$$

where  $|\Phi^+\rangle := (|00\rangle + |11\rangle)/\sqrt{2}$ .<sup>1</sup>

---

<sup>1</sup>[Tsirelson '87], [Summers and Werner '87], [Popescu and Rohrlich '92]

## Device-independent cryptography

- The goal of entanglement-based **quantum key distribution (QKD)** is for Alice and Bob to distill secure key using an untrusted shared state.
- In **standard QKD** Alice and Bob trust their measurement devices:

$$A_0 = B_0 = X \quad \text{and} \quad A_1 = B_1 = Z.$$

If they observe

$$\text{tr}(A_0 \otimes B_0 \rho_{AB}) = \text{tr}(A_1 \otimes B_1 \rho_{AB}) = 1,$$

they can immediately deduce that  $\rho_{AB} = \Phi_{AB}^+$ . Since  $\rho_{AB}$  is pure, Eve is uncorrelated and the randomness generated is secure.

- In the **device-independent** version Alice and Bob do not trust their measurement devices. Nevertheless, they can use self-testing to prove that they basically perform rank-1 projective measurements on a singlet. Purity of the **relevant part of their state** ensures that Eve is uncorrelated.



# Summary

The maximal violation of a “typical” Bell inequality:

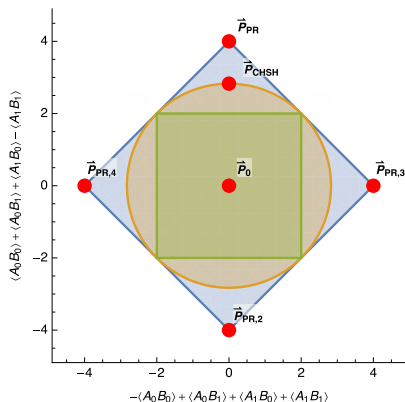
- is achieved by a unique probability point
- completely characterises the state and measurements (up to simple, well-understood equivalences)
- therefore, it can be used to guarantee security of device-independent cryptography

In this talk I will give explicit examples of objects which do not follow this simple pattern

# Outline

- Preliminaries
- Geometry of the quantum set
- A weak form of self-testing
- An extremal non-rigid point based on mutually unbiased bases
- Summary and open questions

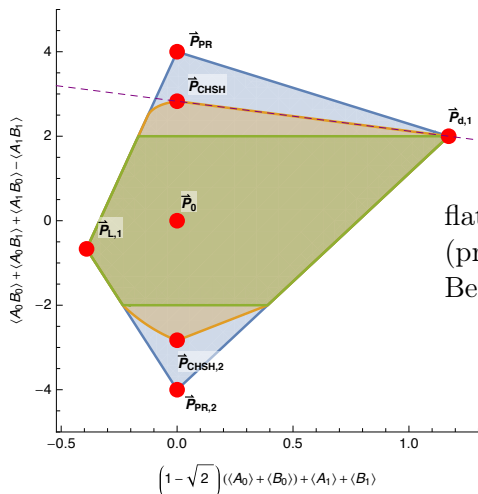
# Geometry of the quantum set



- The quantum set looks “simple”, one might conjecture that:
- the non-trivial part of the boundary has no flat regions
  - for every extremal point there exists an exposing functional
  - non-trivial Bell functionals have unique maximisers

## Geometry of the quantum set

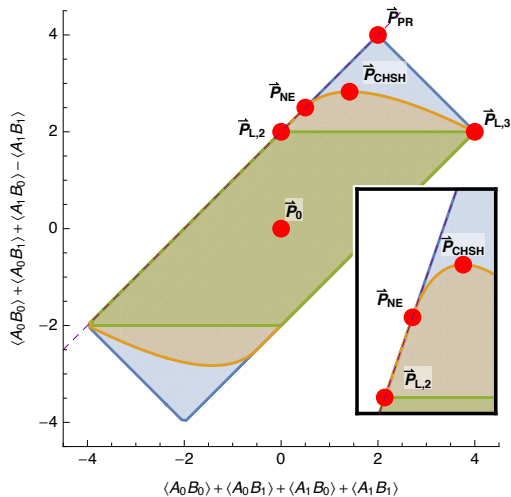
However, counterexamples are easy to find already in the simplest non-trivial Bell scenario<sup>2</sup>



flat region on the boundary  
(proven by finding the right  
Bell functional)

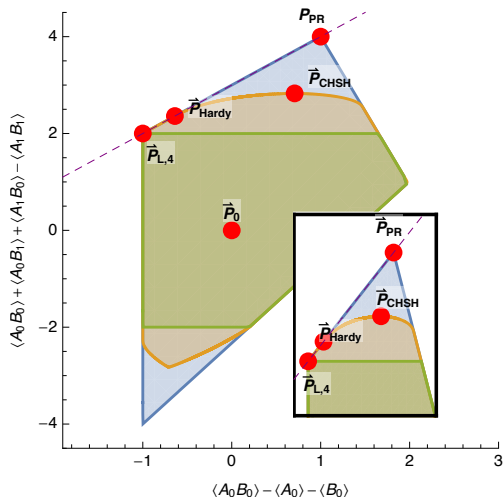
<sup>2</sup>[Goh, K, Wolfe, Vértesi, Wu, Cai, Liang, Scarani, PRA 2018]

# Geometry of the quantum set



$P_{NE}$  is extremal but not exposed (proven using analytic characterisation)

# Geometry of the quantum set



$P_{\text{Hardy}}$  is extremal but not exposed (proven using linear programming)

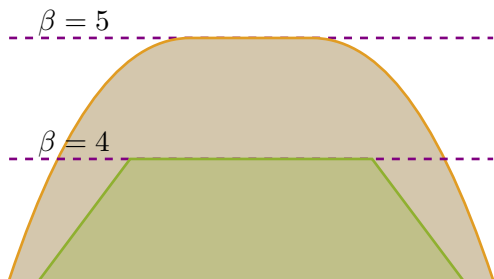
$\implies$  cannot find a Bell inequality maximally violated only by  $P_{\text{Hardy}}$

## Geometry of the quantum set

For non-uniqueness of maximisers consider the bipartite scenario with 3 settings and 2 outcomes:

$$\beta = \langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_0 B_2 \rangle + \langle A_1 B_0 \rangle + \langle A_1 B_1 \rangle - \langle A_1 B_2 \rangle \\ + \langle A_2 B_0 \rangle - \langle A_2 B_1 \rangle$$

Easy to show that  $\beta_{\mathcal{L}} = 4$ ,  $\beta_{\mathcal{Q}} = 5$ ,  $\beta_{\mathcal{NS}} = 8$



entire segment can be realised  
by projective measurements  
on  $|\Phi^+\rangle = (|00\rangle + |11\rangle)/\sqrt{2}$

$\beta = 5$  **will not** certify  
observables, but **might** be  
sufficient to certify the state

# Outline

- Preliminaries
- Geometry of the quantum set
- A weak form of self-testing
- An extremal non-rigid point based on mutually unbiased bases
- Summary and open questions



# A weak form of self-testing

**Result:** For the functional

$$\beta = \langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_0 B_2 \rangle + \langle A_1 B_0 \rangle + \langle A_1 B_1 \rangle - \langle A_1 B_2 \rangle \\ + \langle A_2 B_0 \rangle - \langle A_2 B_1 \rangle.$$

there exists a 1-parameter family of 2-qubit realisations that achieves  $\beta = 5$ . Every realisation that achieves the maximal violation is a convex combination of those. The set of probability points achieving  $\beta = 5$  is a line segment.<sup>3</sup>

For these 2-qubit realisations:

- the state is always  $|\Phi^+\rangle$
- the measurements are always rank-1 projective; the angle between  $A_0$  and  $A_1$  is fixed, but there is some freedom in choosing  $A_2$

---

<sup>3</sup>[K, arXiv:1910.00706]

# A weak form of self-testing

## Conclusions:

- the maximal violation certifies the maximally entangled state of 2 qubits (and this can be made robust)
- the maximal violation partially determines the arrangement of observables
- the maximal violation certifies that the randomness generated is unknown to Eve, can be used e.g. for QKD
- rigidity is not necessary for device-independent cryptography (it is not necessary to fully characterise the devices, partial characterisation might be sufficient)

# Outline

- Preliminaries
- Geometry of the quantum set
- A weak form of self-testing
- An extremal non-rigid point based on mutually unbiased bases
- Summary and open questions

# An extremal non-rigid point based on MUBs

**Question:** Are all extremal points of the quantum set self-tests?

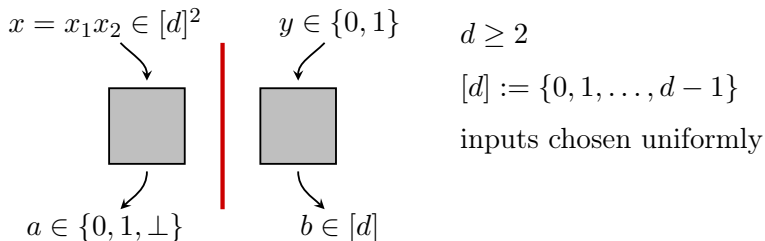
**Seemingly unrelated question:** Can we construct a Bell inequality maximally violated by a pair of mutually unbiased bases (MUBs) in dimension  $d$ ?

**Yes!** (and it has some interesting properties)<sup>4</sup>

---

<sup>4</sup>[Tavakoli, Farkas, Rosset, Bancal, K, [arXiv:1912.03225](https://arxiv.org/abs/1912.03225)]

## An extremal non-rigid point based on MUBs



$$\beta := \sum_{xy} P(a = y \wedge b = x_y | x, y) - P(a = 1 - y \wedge b = x_y | x, y) \\ - \gamma_d \sum_x (P(a = 0 | x) + P(a = 1 | x)) \quad \text{for } \gamma_d := \sqrt{1 - d^{-1}}/2$$

- If  $a = \perp$  no points are won or lost regardless of Bob's actions
- If  $a \in \{0, 1\}$  the game is played: a fixed "fee" is deducted and further points might be won or lost

## An extremal non-rigid point based on MUBs

The Bell functional might look complicated

$$\beta = \sum_{xy} P(a = y \wedge b = x_y | x, y) - P(a = 1 - y \wedge b = x_y | x, y) \\ - \gamma_d \sum_x (P(a = 0 | x) + P(a = 1 | x)) \quad \text{for } \gamma_d := \sqrt{1 - d^{-1}}/2$$

but the resulting Bell operator is simple

$$W = \sum_x [(A_0^x - A_1^x) \otimes (P_{x_0} - Q_{x_1}) - \gamma_d (A_0^x + A_1^x) \otimes \mathbb{1}]$$

where  $\{A_a^x\}$  are the measurement operators of Alice and  $\{P_b\}$  and  $\{Q_b\}$  represent the two measurements of Bob

This Bell operator is simple enough so that a **tight bound on the quantum value** can be computed **analytically**

## An extremal non-rigid point based on MUBs

Quantum realisation achieving the quantum value:

- Alice and Bob share  $|\Phi_d^+\rangle := \frac{1}{\sqrt{d}} \sum_{j=0}^{d-1} |j\rangle|j\rangle \in \mathbb{C}^d \otimes \mathbb{C}^d$
- Bob performs measurements in two mutually unbiased bases  $\{P_b\}$  and  $\{Q_b\}$
- Alice's measurements are determined by the spectral decomposition of

$$P_{x_0} - Q_{x_1} = \sqrt{\frac{d-1}{d}} (|e_{x_0x_1}^0\rangle\langle e_{x_0x_1}^0| - |e_{x_0x_1}^1\rangle\langle e_{x_0x_1}^1|).$$

$$A_j^x = (|e_{x_0x_1}^j\rangle\langle e_{x_0x_1}^j|)^T \quad \text{for } j \in \{0, 1\},$$
$$A_{\perp}^x = \mathbb{1} - A_0^x - A_1^x.$$

- The maximal violation can be achieved by **any pair of MUBs** in dimension  $d$ : since in some dimensions there exist inequivalent pairs of MUBs this inequality **cannot be a self-test!**

# An extremal non-rigid point based on MUBs

What can we actually deduce if we observe the maximal violation?

- The shared state  $\rho_{AB}$  **contains**  $\Phi_d^+$
- The measurements of Bob satisfy **sandwich relations**

$$P_u Q_v P_u = \frac{1}{d} P_u \quad \text{and} \quad Q_v P_u Q_v = \frac{1}{d} Q_v$$

which turn out to be equivalent to

$$\langle \psi | P_u | \psi \rangle = 1 \implies \langle \psi | Q_v | \psi \rangle = \frac{1}{d},$$

$$\langle \psi | Q_v | \psi \rangle = 1 \implies \langle \psi | P_u | \psi \rangle = \frac{1}{d},$$

**“operational definition of MUBs”**

- $\{P_u\}$  and  $\{Q_v\}$  are not necessarily (direct sums of) MUBs

Finally, the maximal violation is achieved by a unique probability point  $\implies$  **non-rigid exposed point of the quantum set**



# An extremal non-rigid point based on MUBs

# Outline

- Preliminaries
- Geometry of the quantum set
- A weak form of self-testing
- An extremal non-rigid point based on mutually unbiased bases
- Summary and open questions

# Summary and open questions

## Summary:

- The quantum set is a convex set with highly non-trivial geometry even in the simplest Bell scenario.
- The maximal violation of a Bell inequality can certify the state but only partially characterise the measurements. Such inequalities can still be used for device-independent cryptography.
- There exist extremal points of the quantum set which are not rigid.

## Open questions:

- Can we find a bipartite Bell inequality maximally violated by inequivalent states? (tripartite examples are known)
- Which extremal points of the quantum set are self-tests? What is the generic behaviour?
- Can we define an elegant hierarchy of relaxed self-testing criteria?

**Thank you for your attention!**